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To: Cllr Helen Brown (Chair)

Councillors: Janet Axworthy, Geoff Collett, Chris Dolphin, Andy Dunbobbin,  
Paul Johnson and Arnold Woolley

**Co-opted Member:**

Sally Ellis

19 March 2019

Dear Sir/Madam

You are invited to attend a meeting of the Audit Committee which will be held at 10.00 am on Wednesday, 27th March, 2019 in the Clwyd Committee Room, County Hall, Mold CH7 6NA to consider the following items

**A G E N D A**

**1 APOLOGIES**

**Purpose:** To receive any apologies.

**2 DECLARATIONS OF INTEREST (INCLUDING WHIPPING DECLARATIONS)**

**Purpose:** To receive any Declarations and advise Members accordingly.

**3 MINUTES (Pages 5 - 12)**

**Purpose:** To confirm as a correct record the minutes of the meeting on 15 February 2019.

**4 TREASURY MANAGEMENT QUARTERLY UPDATE 2018/19 (Pages 13 - 28)**

Report of Corporate Finance Manager - Leader of the Council and Cabinet Member for Finance

**Purpose:** Quarterly update on matters relating to the Council's Treasury Management Policy, Strategy and Practices 2018/19.

5 **WALES AUDIT OFFICE (WAO) AUDIT PLAN 2019** (Pages 29 - 54)

Report of Corporate Finance Manager -

**Purpose:** The Wales Audit Office, being the Council's external auditor, has prepared an audit plan for 2019 for the Council and the Clwyd Pension Fund which sets out their proposed audit work for the year along with timescales, costs and the audit teams responsible for carrying out the work.

6 **CERTIFICATION OF GRANTS AND RETURNS 2017/18** (Pages 55 - 74)

Report of Corporate Finance Manager - Leader of the Council and Cabinet Member for Finance

**Purpose:** To inform Members of the grant claim certification by Wales Audit Office for the year ended 31st March 2018.

7 **EXTERNAL REGULATION ASSURANCE** (Pages 75 - 94)

Report of Chief Executive -

**Purpose:** To endorse the summary of all external regulatory reports received during 2017/18 along with the Council's responses.

8 **INTERNAL AUDIT STRATEGIC PLAN** (Pages 95 - 116)

Report of Internal Audit Manager -

**Purpose:** To present the proposed Internal Audit Plan for the three year period 2019/20 to 2021/22 for Members' consideration.

9 **PUBLIC SECTOR INTERNAL AUDIT STANDARDS COMPLIANCE 2018/19**  
(Pages 117 - 174)

Report of Internal Audit Manager -

**Purpose:** To inform the Committee of the results of the annual internal assessment of conformance with the Public Sector Internal Audit Standards (PSIAS).

10 **INTERNAL AUDIT PROGRESS REPORT 2018/19** (Pages 175 - 218)

Report of Internal Audit Manager -

**Purpose:** To present to the Committee an update on the progress of the Internal Audit Department.

11 **COMPOSITION OF AUDIT COMMITTEE** (Pages 219 - 222)

Report of Internal Audit Manager -

**Purpose:** To consider whether to increase the number of Members on the Audit Committee.

12 **ACTION TRACKING** (Pages 223 - 228)

Report of Internal Audit Manager -

**Purpose:** To inform the Committee of the actions resulting from points raised at previous Audit Committee meetings.

13 **FORWARD WORK PROGRAMME** (Pages 229 - 236)

Report of Internal Audit Manager -

**Purpose:** To consider the Forward Work Programme of the Internal Audit Department.

**NOTE: Annual Meeting with Internal and External Auditors**

In accordance with the agreed procedure, members of the Audit Committee will meet with the Internal and External Auditors immediately following the meeting.

Yours faithfully



Robert Robins  
Democratic Services Manager